



## YOLO-SOLANO AIR QUALITY MANAGEMENT DISTRICT OFF-ROAD EQUIPMENT REPLACEMENT APPLICATION

<b>Instructions:</b> 1. Complete all sections of the application. 2. Sign application and attach all required documentation.	<b>Submit to YSAQMD (Attn: Incentives):</b> 1947 Galileo Court Davis, CA 95618 OR email to <a href="mailto:grants@ysaqmd.org">grants@ysaqmd.org</a>
District staff will follow up over email to confirm receipt of your application. If you do not receive an email confirmation, please call the District at 530-757-3650 to ensure your application was received.	

### Applicant Information

Organization, Company, or Proprietor's Name (as it appears on Form W-9):	
Address (City, State, Zip):	
Mailing Address (City, State, Zip):	
Please select the acreage that reflects the size of your operation:	<input type="checkbox"/> 100 acres or less <input type="checkbox"/> Over 100 acres

### Primary Contact Information

First and Last Name:	Title:
Phone Number:	Email:

### Contract Signing Authority

First and Last Name:	Title:
Phone Number:	Email:

### Fleet Information

<b>Check the following if they apply to your fleet or equipment:</b>			
<input type="checkbox"/> Fleet is exempt from the Off-Road Regulation			
<input type="checkbox"/> Existing equipment in this application is exempt from the Off-Road Regulation.			
If "Yes," explain: _____			
DOORS ID:		Total Horsepower of Fleet:	
DOORS EIN:		Date Fleet is Compliant Through:	

### Existing Equipment Information

Equipment Address (location where equipment is permanently domiciled):

Equipment Type (for example, ag tractor, pull-behind harvester, etc.):	
Annual Operation (in hours):	% Use within District:
Have you owned and operated this equipment in California for the previous two years?	
<input type="checkbox"/> Yes <input type="checkbox"/> No, this equipment is ineligible for funding	
Is this equipment operational?	
<input type="checkbox"/> Yes <input type="checkbox"/> No, this equipment is ineligible for funding	

Equipment Make:	Equipment Model:
Equipment Model Year:	Equipment Identification Number (VIN/PIN):
Engine Make:	Engine Model:
Engine Model Year:	Advertised Horsepower Rating:
Engine Serial Number:	Engine Family Name:
Current Hour Meter Reading:	Fleet ID Number (if applicable):
Engine Tier:	Is this equipment powered by a diesel engine?
<input type="checkbox"/> Tier 0 <input type="checkbox"/> Tier 1 <input type="checkbox"/> Tier 2 <input type="checkbox"/> Tier 3	<input type="checkbox"/> Yes <input type="checkbox"/> No

#### New Equipment Information

Equipment Make:	Equipment Model:
Equipment Model Year:	Engine Family Name:
Engine Make:	Engine Model:
Engine Model Year:	Advertised Horsepower Rating:
Fuel Type:	Engine Tier:
	<input type="checkbox"/> Tier 4 Final <input type="checkbox"/> Electric
Total Cost of New Equipment:	

**Required Attachments to Application (submit all of the following):**

- ☐ Completed and signed Form W-9
- ☐ Proof of equipment ownership for **at least 24 months** (bill of sale, tax records, insurance)
- ☐ Photos of Existing Equipment
  - Product Identification Number
  - Hour meter
  - Engine serial number
- ☐ 24 months of complete historical usage (hour meter readings, fuel logs, employee logs)
- ☐ Dated and itemized quote for new equipment with warranty information
- ☐ Executive Order for existing (if applicable) and new engine

**Funding Disclosure**

Applicants are required to disclose any funding they have applied for or received from any other source for this project. Other sources may include, but are not limited to, the USDA EQIP, CARB, US. EPA, and private sources. Additionally, if you intend to apply for additional funding, this information must be disclosed. Receiving funding from other sources for this project does not necessarily preclude you from applying for or receiving funding through YSAQMD.

Applicant certifies: ☐ Yes, I have applied for funding from other sources.

☐ No, I have not applied and will not apply for funding from other sources.

If yes, provide the Source, Program and Project/Application Number and Funding amount applied for or awarded:

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**If selected, Applicant agrees to provide and will be ineligible to receive funding if not able to produce the following:**

- ☐ Proof of general liability insurance (\$2,000,000 / occurrence and \$4,000,000 / aggregate required)
- ☐ Proof of workers' compensation or certification that applicant does not have employees

## APPLICATION STATEMENT OF TERMS AND CONDITIONS

1. I have legal authority to apply for grant funds for the equipment described in this application.
2. The proposed project is not required to be implemented by any local, state, and/or federal rule, regulations, or other legally binding requirement.
3. No replacement engines/equipment have been purchased and no work on this project has begun or will begin until the Grant Agreement is fully executed by the District.
4. I understand the grant will pay for a portion of the total costs and I must retain copies of receipts and cancelled checks to prove that I paid my share of the costs.
5. I understand there are conditions placed on receiving a grant and agree to refund the grant (or a pro-rated portion) if it is found that at any time I do not meet those conditions.
6. I understand I must complete the equipment purchase specified in the application no later than the period of performance stated in the Grant Agreement. This deadline may be extended for cause if requested by the applicant and approved in writing by the District.
7. I understand it is my responsibility to ensure that all technologies are either verified or certified by CARB to reduce NOx, and/or PM pollutants.
8. I have attached records, fuel receipts, or logs or operating hour documentation that validates the historic operation of the baseline equipment for at least the previous 24 months.
9. I understand that the existing baseline engine may not be removed from the vehicle, equipment, or vessel until the manufacturers permanently marked serial number is documented by District inspection or a District tamperproof tag is affixed on the engine that ensures the engine's identity can be verified after removal.
10. I understand that any existing baseline engine or equipment which has been replaced using District Program funds must be permanently destroyed and rendered useless. This work shall be documented by the District.
11. I certify that both the existing equipment has, and the new replacement equipment will operate within the District as provided above. I understand the District must verify equipment location prior to final award for any grant project.
12. I understand that I must submit reports annually to the District through the life of the project.
13. I understand I will be prohibited from applying for any form of emission reduction credits for District funded vehicles/engines including: Emission Reduction Credit (ERC), Mobile Source Emission Reduction Credit (MSERC), and/or Certificate of Advanced Placement (CAP), for all time, from the District, CARB, any/or any other district.
14. I certify that the proposed project has not been funded and is not being considered for Carl Moyer Program, Community Air Protection Incentive or FARMER funds by another air district or any other public agency.
15. I understand that disclosure is required of the value of any current financial incentive that directly reduces the project price, including tax credits or deductions, grants, or other public financial assistance.
16. I understand that a Global Positioning System (GPS) unit may be required to be installed on equipment if the District ascertains during the application process that the grant equipment has the potential of operating outside the boundaries of the District for a significant portion of the project life. I will submit data as requested and otherwise cooperate with all data monitoring and reporting requirements.
17. I understand that a tamperproof non-resettable digital hour meter/odometer must be installed and maintained in operating condition on all equipment.
18. I understand the District has the right to conduct unannounced inspections to ensure the project equipment is fully operational and at the activity level committed to in the grant agreement.
19. I certify that the requested funds do not include administrative costs. Administrative costs are defined as costs related to application preparation and submittal, project administration, monitoring, oversight, data gathering, and report preparation. I will include funds necessary to cover administrative costs and any required matching funds in my budget for the duration of the project.
20. I understand that an IRS Form 1099 will be issued to me for the incentive funds received under any District Program. I understand that it is my responsibility to determine the tax liability associated with participating in District Programs.
21. I have signed and submitted to the District a CARB Regulatory Compliance Statement certifying that my company is, or I am in compliance with all federal, state, and local air quality rules and regulations at the time of application submittal.
22. I certify to the best of knowledge that the information contained in this application is true and correct.

I agree to the above statements.

\_\_\_\_\_  
Authorized Signature

\_\_\_\_\_  
Date

\_\_\_\_\_  
Authorized Representative's Name (Print)

\_\_\_\_\_  
Title

**YOLO-SOLANO AIR QUALITY MANAGEMENT DISTRICT  
OFF-ROAD EQUIPMENT REPLACEMENT APPLICATION  
REGULATORY COMPLIANCE STATEMENT**

**Disclosure Statement**

As a YSAQMD grant program applicant/participant, I declare that \_\_\_\_\_

(Company Name)

is in compliance with, will remain in compliance with, and does not have any outstanding, unresolved, or unpaid Notice of Noncompliance (NON), Notice of Violation (NOV) or citations for violations of any federal, State and local air quality regulations including, but not limited to, the following:

Cargo Handling Equipment Regulation  
Commercial Harbor Craft Regulation  
Drayage Truck Regulation  
In-Use Off-Road Diesel Vehicle Regulation  
Marine Shore Power Regulation  
Portable Diesel Airborne Toxic Control Measure

Public Agency and Utility Rule  
Sleeper Berth Truck Idling Regulation  
Solid Waste Collection Vehicle Regulation  
Stationary Engine Airborne Toxic Control Measures  
Statewide Truck and Bus Regulation  
Transit Fleet Rule

<b>By signing below and submitting this application, I understand and acknowledge grant requirements and I hereby certify under penalty of perjury that the information in the application and attachments is accurate and true.</b>	
Authorized Signature:	Date:
Authorized Representative's Name (Print):	Authorized Representative's Title:
Legal Owner's Name:	Company Name:
Mailing Address:	City/State/Zip:
Physical Address of Equipment (if different):	City/State/Zip:
Phone:	Email:

Fact sheets and additional information on the regulations are available at <https://www.arb.ca.gov/permits/permits.htm> or by calling CARB's diesel hotline at 866-6DIESEL (866-634-3735). To obtain these documents in an alternative format or language please call (866) 634-3735.

**General Eligibility Criteria:**

This program follows the California Air Resources Board (CARB's) Carl Moyer Program (CMP) Guidelines. The 2024 CMP Guidelines are available on the District's website at <https://www.ysaqmd.org/incentives/moyer> or at California Air Resources Board (ARB) website at <http://www.arb.ca.gov/msprog/moyer/guidelines/current/htm>.

To be eligible for funding, projects must meet the criteria described in the 2024 CMP Guidelines and all current CMP Advisories. There criteria include, but are not limited to the following:

- Emission reductions obtained through CMP projects must not be required by any federal, State, or local regulation, memorandum or agreement/understanding with a regulatory agency, settlement agreement, mitigation requirement, or other legal mandate.
- Projects must meet a cost-effectiveness established by the District and calculated in accordance with the cost-effectiveness methodology in the 2024 CMP Guidelines. All State funds (except tax credits, tax deductions, public rebates, or public loans) plus any other funds under a district's budget authority or fiduciary control contributed toward a project must be included in the cost-effectiveness calculation.
- No emission reductions generated by the CMP shall be used as marketable Emission Reduction Credits, or to offset any emission reduction obligation of any person or entity.
- No project funded by the CMP shall be used for credit under any federal or State emission averaging, banking, and trading program.
- Funded projects must operate at least 75% of their total activity in Yolo or Solano Counties.
- Emission reduction technologies must be certified/verified by CARB and must comply with durability and warranty requirements. For the purpose of the CMP, a technology granted conditional certification/verification by ARB is considered certified/verified.

**Off-Road Equipment Eligibility Criteria**

- Existing engines must be greater than 25 horsepower (19 kilowatts). If actual engine horsepower cannot be determined, it can be estimated using the following:
  - $\text{Engine horsepower} = \text{Power Take-Off} \times 120\%$
- Grant amount must be based on new engine within 125% of the horsepower of the existing engine.
- The owner must be in compliance with federal, State, and local regulations.
- For fleets subject to the Off-Road Regulation, applicants must submit the Diesel Off-Road Online Reporting System (DOORS) Identification Number, Engine Identification Number, and results of the fleet calculator.
- Stationary agricultural engines must be registered with the District.